SEC Form 4
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## FORM 4

ok this hav if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

I

(Check all applicable)

Х

Line)

Х

Director

below)

Person

Officer (give title

5. Relationship of Reporting Person(s) to Issuer

Vice President

6. Individual or Joint/Group Filing (Check Applicable

Form filed by One Reporting Person Form filed by More than One Reporting

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

10% Owner

Other (specify below)

to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).		to Section 16(a) of the Securities Exch ion 30(h) of the Investment Company A
1. Name and Address of Reporting Person* <u>McNiff Michael S.</u>		er Name <b>and</b> Ticker or Trading Symbol <u>ARD'S, INC.</u> [ DDS ]
(Last) (First) (N	(iddle) 3. Date	of Earliest Transaction (Month/Day/Ye

P	
(Street)	
LITTLE ROCK AR	72201

1600 CANTRELL ROAD

(City)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. If Amendment, Date of Original Filed (Month/Day/Year)

· · · · ·										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Class A	11/03/2020		A		44	A	\$45.84	27,925	D	
Common Class A - Retirement Plan								2,202	D	
Common Class A								66	Ι	Owned by Spouse

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) of Dispo of (D)	r osed ) r. 3, 4		te Amount ( ear) Securitie Underlyin Derivativ		int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

Remarks:

#### /s/ Michael S. McNiff

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

e Securities Exchange Act of 1934 nent Company Act of 1940

(Month/Day/Year)

Date

11/05/2020